PTO/SB/30 (09-03)

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Request	
For	
Continued Examination ((RCE)
Transmittal	
ress to:	

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•	respond to a collection of information	in unless it displays a valid OMB control number
I	Application Number	09/937,796-Conf. #7040
I	Filing Date	January 28, 2002
ĺ	First Named Inventor	Mitsuhiro Koden, et al.
I	Art Unit	2872
I	Examiner Name	A. C. Lavarias
	Attorney Docket Number	56,520-RCE (70904)

This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application. Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June

8, 1995, or to any design application. 1. Submission required under 37 CFR 1.114 | Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s). Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked. Consider the arguments in the Appeal Brief or Reply Brief previously filed on Other b. X **Enclosed** X Amendment/Reply Information Disclosure Statement (IDS) Affidavit(s)/Declaration(s) Other 2. Miscellaneous Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a period of months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required) Other Interview Request Form (Form PTOL-413A). The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed. 3. Fees The Director is hereby authorized to charge the following fees, or credit any overpayments, to Deposit Account No. X RCE fee required under 37 CFR 1.17(e) Extension of time fee (37 CFR 1.136 and 1.17) Other Check in the amount of \$ 790.00 enclosed Payment by credit card (Form PTO-2038 enclosed) SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

lame (Print/Type	,	Georg	ge vv.	Hartne	eų, III		Registration	No. (Atto	rney/Agent)	42,639	
Signature		74	۱ هـ	ے ج	h	\bigcup		Date	October :	5, 2004	
•		/	1	7			<u>–</u>				

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Dated: October 5, 2004

Signature:

(Lakeisha Bryant)

.0/08/2004 CNGUYEN 00000012 09937796

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		Complete if Known						
👺 FEE TRANSMITTAL		Application Number			er	09/937,796-Conf. #7040		
for FY 2004		Filing Date				January 28, 2002		
101 F 1 2004	First Named Inventor			Inver	ntor	Mitsuhiro Koden, et al.		
Effective 10/01/2003. Patent fees are subject to annual revision.			iner Na			A. C. Lavarias		
Applicant claims small entity status. See 37 CFR 1.27	Art Unit					2872		
TOTAL AMOUNT OF PAYMENT (\$) 790.00		Attorney Docket No. 56,520-RCE (70904)						
METHOD OF PAYMENT (check all that apply)	<u>_</u>	FEE CALCULATION (continued)						
Credit Money						EATION (continued)		
X Check Credit Money Order Other None	3. <i>F</i>	ADDITI	ONAL	FEES	;			
Deposit Account:								
Deposit O4 1105	Large Fee	e Entity Fee	Small Fee	Entity Fee	-			
Account 04-1105 Number	Code		Code	(\$)		Fee Description	Fee Paid	
Deposit S. Annell J. D.	1051	130	2051	65	Surcharge	e – late filing fee or oath		
Account Edwards & Angell, LLP	1052	50	2052	25	_	e – late provisional filing fee or cover		
The Director is authorized to: (check all that apply)	1032	30	2002	23	sheet.			
Charge fee(s) indicated below X Credit any overpayments	1053	130	1053	130	Non-Engl	sh specification		
X Charge any additional fee(s) or any underpayment of fee(s)	1812	2,520	1812	2,520	For filing a	request for ex parte reexamination		
Charac fac(a) indicated below execut for the filling for	1804	920*	1804	920*	Requestir Examiner	ng publication of SIR prior to		
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805	1,840*	1805	1.840°	Requestir	ng publication of SIR after		
FEE CALCULATION	1251	110	2251	55	Examiner	action for reply within first month		
1. BASIC FILING FEE	1252	420	2252	210		for reply within second month		
Large Entity Small Entity	1253	950	2253	475		for reply within third month		
Fee Fee Fee Fee Fee Description Fee Paid Code (\$) Code (\$)	1254	1,480	2254	740	Extension	for reply within fourth month		
Code (\$) Code (\$) 1001 770 2001 385 Utility filing fee	1255	2,010	2255	1,005	Extension	for reply within fifth month		
1002 340 2002 170 Design filing fee	1401	330	2401	165	Notice of	Appeal		
1003 530 2003 265 Plant filing fee	1402	330	2402	165	Filing a br	ief in support of an appeal		
1004 770 2004 385 Reissue filing fee	1403	290	2403	145	•	or oral hearing		
1005 160 2005 80 Provisional filing fee	1451 1452	1,510 110	1451 2452	1,510 55		institute a public use proceeding revive – unavoidable		
SUBTOTAL (1) (\$) 0.00	1453	1,330	2452	665		revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501	1,330	2501	665		e fee (or reissue)		
Extra Fee from	1502	480	2502	240	Design is			
Total Claims -++ = x =	1503	640	2503	320	Plant issu			
Independent = x	1460	130	1460	130		o the Commissioner		
Claims	1807	50	1807	50	Processin	g fee under 37 CFR 1.17(q)		
Large Entity Small Entity	1806	180	1806	180	Submission	on of Information Disclosure Stmt		
Fee Fee Fee Fee Fee Description	8021	40	8021	40	Recording	each patent assignment per		
Code (\$) Code (\$)						times number of properties) brinission after final rejection		
1202 18 2202 9 Claims in excess of 20 1201 86 2201 43 Independent claims in excess of 3	1809	770	2809	385	(37 ČFR 1	.129(a))		
1203 290 2203 145 Multiple dependent claim, if not paid	1810	770	2810	385		additional invention to be (37CFR 1.129(b))]	
1204 86 2204 43 ** Reissue independent claims	1801	770	2801	385	Request f	or Continued Examination (RCE)	790.00	
over original patent 1205 18 2205 9 ** Reissue claims in excess of 20	1802	900	1802	900		or expedited examination n application		
and over original patent	Other	fee (spe	cify)		o, a acoly			
SUBTOTAL (2) (\$) 0.00	*Redu	iced by E	Basic Fil	ing Fee	Paid	SUBTOTAL (3) (\$)	790.00	
**or number previously paid, if greater, For Reissues, see above		•		-		, , , , , , , , , , , , , , , , , , , ,		

SUBMITTED BY					(Complete	(if applicable))
Name (Print/Type) George W. H	artnell, III		gistration No. tomey/Agent)	42,639	Telephone	(617) 517-5552
Signature		***			Date	October 5, 2004

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below.	
Delow.	
	Signature Lakeisha Bryant)
Dated: October 5, 2004	Signature: ARenk / Swaw (Lakeisha Bryant)
	· ///



Attorney Docket No. 56,520 (70904)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: M. Koden, et al.

Confirmation No.: 7040

U.S.S.N.

09/937,796

Art Unit:

2872

FILED:

January 28, 2002

Examiner:

Lavarias, A.

FOR:

OPTICAL CONTROL DEVICE AND METHOD OF DRIVING THE SAME

Mail Stop RCE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

PRELIMINARY AMENDMENT

Please preliminarily amend the subject application as follows before calculating the filing fee therefor:

The Applicants believe that no extension of time is required since this response is being filed before expiration of the specified time period. The Applicants, however, conditionally petition for an extension of time to provide for the possibility that such a petition has been inadvertently overlooked and is required. As provided below, charge Deposit Account **04-1105** for any required fee.

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Dated: October 5, 2004

Signature:

(Lakeisha Bryant)